

# Package ‘gscounts’

November 2, 2021

**Type** Package

**Title** Group Sequential Designs with Negative Binomial Outcomes

**Version** 0.1-4

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**Description** Design and analysis of group sequential designs for negative binomial outcomes, as described by T Mütze, E Glimm, H Schmidli, T Friede (2018) <[doi:10.1177/0962280218773115](https://doi.org/10.1177/0962280218773115)>.

**Depends** R (>= 3.0.0)

**Imports** stats, Rcpp(>= 0.12.9)

**Suggests** testthat, MASS, knitr, rmarkdown, dplyr, gsDesign, mvtnorm

**License** GPL (>= 2)

**NeedsCompilation** yes

**URL** <https://github.com/tobiasmuetze/gscounts>

**BugReports** <https://github.com/tobiasmuetze/gscounts/issues>

**VignetteBuilder** knitr

**LazyData** true

**LinkingTo** Rcpp

**Encoding** UTF-8

**RoxygenNote** 7.1.2

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**Repository** CRAN

**Date/Publication** 2021-11-02 08:10:04 UTC

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design_gsnb	<i>Group sequential design with negative binomial outcomes</i>
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## Description

Design a group sequential trial with negative binomial outcomes

## Usage

```
design_gsnb(
  rate1,
  rate2,
  dispersion,
  ratio_H0 = 1,
  random_ratio = 1,
  power,
  sig_level,
  timing,
  esf = obrien,
  esf_futility = NULL,
  futility = NULL,
  t_recruit1 = NULL,
  t_recruit2 = NULL,
  study_period = NULL,
  accrual_period = NULL,
  followup_max = NULL,
  accrual_speed = 1,
  ...
)
```

## Arguments

rate1	numeric; assumed rate of treatment group 1 in the alternative
rate2	numeric; assumed rate of treatment group 2 in the alternative
dispersion	numeric; dispersion (shape) parameter of negative binomial distribution
ratio_H0	numeric; positive number denoting the rate ratio $\mu_1/\mu_2$ under the null hypothesis, i.e. the non-inferiority or superiority margin
random_ratio	numeric; randomization ratio n1/n2

power	numeric; target power of group sequential design
sig_level	numeric; Type I error / significance level
timing	numeric vector; $0 < \text{timing}[1] < \dots < \text{timing}[K] = 1$ with K the number of analyses, i.e. (K-1) interim analyses and final analysis. When the timing of efficacy and futility analyses differ, timing should not be defined. Instead, the arguments <code>timing_eff</code> and <code>timing_fut</code> have to be used to specify the timing of the efficacy and futility analyses, respectively.
esf	function; error spending function
esf_futility	function; futility error spending function
futility	character; either "binding", "nonbinding", or NULL for binding, nonbinding, or no futility boundaries
t_recruit1	numeric vector; recruit (i.e. study entry) times in group 1
t_recruit2	numeric vector; recruit (i.e. study entry) times in group 2
study_period	numeric; study duration; to be set when follow-up times are not identical between subjects, NULL otherwise
accrual_period	numeric; accrual period
followup_max	numeric; maximum exposure time of a subject; to be set when follow-up times are to be equal for each subject, NULL otherwise
accrual_speed	numeric; determines accrual speed; values larger than 1 result in accrual slower than linear; values between 0 and 1 result in accrual faster than linear.
...	further arguments. Will be passed to the error spending function.

## Details

Denote  $\mu_1$  and  $\mu_2$  the event rates in treatment groups 1 and 2. This function considers smaller event rates to be better. The statistical hypothesis testing problem of interest is

$$H_0 : \frac{\mu_1}{\mu_2} \geq \delta \text{ vs. } H_1 : \frac{\mu_1}{\mu_2} < \delta,$$

with  $\delta = \text{ratio\_H0}$ . Non-inferiority of treatment group 1 compared to treatment group 2 is tested for  $\delta \in (1, \infty)$ . Superiority of treatment group 1 over treatment group 2 is tested for  $\delta \in (0, 1]$ . The calculation of the efficacy and (non-)binding futility boundaries are performed under the hypothesis  $H_0 : \frac{\mu_1}{\mu_2} = \delta$  and under the alternative  $H_1 : \frac{\mu_1}{\mu_2} = \text{rate1} / \text{rate2}$ .

The argument 'accrual\_speed' is used to adjust the accrual speed. Number of subjects in the study at study time  $t$  is given by  $f(t) = a * t^b$  with  $a = n / \text{accrual\_period}$  and  $b = \text{accrual\_speed}$ . For linear recruitment,  $b = 1$ .  $b > 1$  results in slower than linear recruitment for  $t < \text{accrual\_period}$  and faster than linear recruitment for  $t > \text{accrual\_period}$ . Vice versa for  $b < 1$ .

## Value

A list with class "gsnb" containing the following components:

rate1	as input
rate2	as input

dispersion	as input
power	as input
timing	as input
ratio_H0	as input
ratio_H1	ratio rate1/rate2
sig_level	as input
random_ratio	as input
power_fix	power of fixed design
expected_info	list; expected information under ratio_H0 and ratio_H1
efficacy	list; contains the elements esf (type I error spending function), spend (type I error spend at each look), and critical (critical value for efficacy testing)
futility	list; only part of the output if argument futility is defined in the input. Contains the elements futility (input argument futility), esf (type II error spending function), spend (type II error spend at each look), and critical (critical value for futility testing)
stop_prob	list; contains the element efficacy with the probabilities for stopping for efficacy and, if futility bounds are calculated, the element futility with the probabilities for stopping for futility
t_recruit1	as input
t_recruit2	as input
study_period	as input
followup_max	as input
max_info	maximum information
calendar	calendar times of data looks; only calculated when exposure times are not identical

## References

Mütze, T., Glimm, E., Schmidli, H., & Friede, T. (2018). Group sequential designs for negative binomial outcomes. *Statistical Methods in Medical Research*, <doi:10.1177/0962280218773115>.

## Examples

```
# Calculate the sample sizes for a given accrual period and study period (without futility)
out <- design_gsnb(rate1 = 0.0875, rate2 = 0.125, dispersion = 5,
                  power = 0.8, timing = c(0.5, 1), esf = obrien,
                  ratio_H0 = 1, sig_level = 0.025,
                  study_period = 3.5, accrual_period = 1.25, random_ratio = 1)

out
```

```
# Calculate the sample sizes for a given accrual period and study period with binding futility
out <- design_gsnb(rate1 = 0.0875, rate2 = 0.125, dispersion = 5,
                  power = 0.8, timing = c(0.5, 1), esf = obrien,
                  ratio_H0 = 1, sig_level = 0.025, study_period = 3.5,
                  accrual_period = 1.25, random_ratio = 1, futility = "binding",
```

```

                                esf_futility = obrien)
out

# Calculate study period for given recruitment times
expose <- seq(0, 1.25, length.out = 1042)
out <- design_gsnb(rate1 = 0.0875, rate2 = 0.125, dispersion = 5,
                  power = 0.8, timing = c(0.5, 1), esf = obrien,
                  ratio_H0 = 1, sig_level = 0.025, t_recruit1 = expose,
                  t_recruit2 = expose, random_ratio = 1)
out

# Calculate sample size for a fixed exposure time
out <- design_gsnb(rate1 = 0.0875, rate2 = 0.125, dispersion = 5,
                  power = 0.8, timing = c(0.5, 1), esf = obrien,
                  ratio_H0 = 1, sig_level = 0.025,
                  followup_max = 0.5, random_ratio = 1)

# Different timing for efficacy and futility analyses
design_gsnb(rate1 = 1, rate2 = 2, dispersion = 5,
           power = 0.8, esf = obrien,
           ratio_H0 = 1, sig_level = 0.025, study_period = 3.5,
           accrual_period = 1.25, random_ratio = 1, futility = "binding",
           esf_futility = pocock,
           timing_eff = c(0.8, 1),
           timing_fut = c(0.2, 0.5, 1))

```

---

design\_nb

*Clinical trials with negative binomial outcomes*


---

## Description

Design a clinical trial with negative binomial outcomes

## Usage

```

design_nb(
  rate1,
  rate2,
  dispersion,
  power,
  ratio_H0 = 1,
  sig_level,
  random_ratio = 1,
  t_recruit1 = NULL,
  t_recruit2 = NULL,
  study_period = NULL,
  accrual_period = NULL,
  followup_max = NULL,

```

```

    accrual_speed = 1
  )

```

### Arguments

rate1	numeric; assumed rate of treatment group 1 in the alternative
rate2	numeric; assumed rate of treatment group 2 in the alternative
dispersion	numeric; dispersion (shape) parameter of negative binomial distribution
power	numeric; target power
ratio_H0	numeric; positive number denoting the rate ratio $rate\_1/rate\_2$ under the null hypothesis, i.e. the non-inferiority or superiority margin
sig_level	numeric; Type I error / significance level
random_ratio	numeric; randomization ratio $n1/n2$
t_recruit1	numeric vector; recruit (i.e. study entry) times in group 1
t_recruit2	numeric vector; recruit (i.e. study entry) times in group 2
study_period	numeric; study duration
accrual_period	numeric; accrual period
followup_max	numeric; maximum exposure time of a patient
accrual_speed	numeric; determines accrual speed; values larger than 1 result in accrual slower than linear; values between 0 and 1 result in accrual faster than linear.

### Value

A list containing the following components:

rate1	as input
rate2	as input
dispersion	as input
power	as input
ratio_H0	as input
ratio_H1	ratio $rate1/rate2$
sig_level	as input
random_ratio	as input
t_recruit1	as input
t_recruit2	as input
study_period	as input
followup_max	as input
max_info	maximum information

**Examples**

```
# Calculate sample size for given accrual period and study duration assuming uniformal accrual
out <- design_nb(rate1 = 0.0875, rate2 = 0.125, dispersion = 5, power = 0.8,
                ratio_H0 = 1, sig_level = 0.025,
                study_period = 4, accrual_period = 1, random_ratio = 2)

out

# Calculate sample size for a fixed exposure time of 0.5 years
out <- design_nb(rate1 = 4.2, rate2 = 8.4, dispersion = 3, power = 0.8,
                ratio_H0 = 1, sig_level = 0.025,
                followup_max = 0.5, random_ratio = 2)

out

# Calculate study period for given recruitment time
t_recruit1 <- seq(0, 1.25, length.out = 1200)
t_recruit2 <- seq(0, 1.25, length.out = 800)
out <- design_nb(rate1 = 0.0875, rate2 = 0.125, dispersion = 5, power = 0.8,
                ratio_H0 = 1, sig_level = 0.025,
                t_recruit1 = t_recruit1, t_recruit2 = t_recruit2)
```

---

get\_calendartime\_gsnb *Calendar time of data looks*

---

**Description**

Calculate the calendar time of looks given the information time

**Usage**

```
get_calendartime_gsnb(
  rate1,
  rate2,
  dispersion,
  t_recruit1,
  t_recruit2,
  timing,
  followup1,
  followup2
)
```

**Arguments**

rate1	numeric; rate in treatment group 1
rate2	numeric; rate in treatment group 2
dispersion	numeric; dispersion (shape) parameter of negative binomial distribution
t_recruit1	numeric vector; recruit (i.e. study entry) times in group 1
t_recruit2	numeric vector; recruit (i.e. study entry) times in group 2

timing	numeric vector with entries in (0,1]; information times of data looks
followup1	numeric vector; final individual follow-up times in treatment group 1
followup2	numeric vector; final individual follow-up times in treatment group 2

**Value**

numeric; vector with calendar time of data looks

**Examples**

```
# Calendar time at which 50%, 75%, and 100% of the maximum information is attained
# 100 subjects in each group are recruited uniformly over 1.5 years
# Study ends after two years, i.e. follow-up times vary between 2 and 0.5 years
get_calendartime_gsnb(rate1 = 0.1,
                      rate2 = 0.125,
                      dispersion = 5,
                      t_recruit1 = seq(0, 1.5, length.out = 100),
                      t_recruit2 = seq(0, 1.5, length.out = 100),
                      timing = c(0.5, 0.75, 1),
                      followup1 = seq(2, 0.5, length.out = 100),
                      followup2 = seq(2, 0.5, length.out = 100))
```

---

get\_info\_gsnb

*Information level for log rate ratio*

---

**Description**

Calculates the information level for the log rate ratio of the negative binomial model

**Usage**

```
get_info_gsnb(rate1, rate2, dispersion, followup1, followup2)
```

**Arguments**

rate1	numeric; rate in treatment group 1
rate2	numeric; rate in treatment group 2
dispersion	numeric; dispersion (shape) parameter of negative binomial distribution
followup1	numeric vector; individual follow-up times in treatment group 1
followup2	numeric vector; individual follow-up times in treatment group 2

**Value**

numeric; information level



**Examples**

```
# Calculates information level for case of 10 subjects per group
# Follow-up times of subjects in each group range from 1 to 3
get_info_gsnb(rate1 = 0.1,
              rate2 = 0.125,
              dispersion = 4,
              followup1 = seq(1, 3, length.out = 10),
              followup2 = seq(1, 3, length.out = 10))
```

---

gscounts

*gscounts*

---

**Description**

Design and monitoring of group sequential designs with negative binomial data.

**Author(s)**

Tobias Muetze <tobias.muetze@outlook.com>

---

hospitalizations

*Hospitalizations*

---

**Description**

A dataset containing the hospitalization times of 1980 patients:

**Usage**

```
data(hospitalizations)
```

**Format**

A data frame with 2323 rows and 4 variables

**Details**

- treatment. Treatment identifier.
- pat. Patient identifier. Unique within treatment
- t\_recruit. Recruitment time of patient into the clinical trial.
- eventtime. Event time of hospitalization. NA corresponds to no event.

obrien                      *obrien*

---

**Description**

Error spending function mimicking O'Brien & Fleming critical values

**Usage**

```
obrien(t, sig_level, ...)
```

**Arguments**

t	numeric; Non-negative information ratio
sig_level	numeric; significance level
...	optional arguments

**Value**

numeric

**Examples**

```
# O'Brien-Fleming-type error spending function  
obrien(t = c(0.5, 1), sig_level = 0.025)
```

---

pocock                      *pocock*

---

**Description**

Error spending function mimicking Pococks critical values

**Usage**

```
pocock(t, sig_level, ...)
```

**Arguments**

t	numeric; Non-negative information ratio
sig_level	numeric; significance level
...	optional arguments

**Value**

numeric

**Examples**

```
# Pocock-type error spending function  
pocock(t = c(0.5, 1), sig_level = 0.025)
```

---

<code>print.gsnb</code>	<i>print.gsnb</i>
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---

**Description**

print method for instance of class gsnb

**Usage**

```
## S3 method for class 'gsnb'  
print(x, ...)
```

**Arguments**

x	an object of class gsnb
...	optional arguments to print or plot methods

---

<code>print.nb</code>	<i>print.nb</i>
-----------------------	-----------------

---

**Description**

print method for instance of class nb

**Usage**

```
## S3 method for class 'nb'  
print(x, ...)
```

**Arguments**

x	an object of class nb
...	optional arguments to print or plot methods

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